

RCE 2/20

PTO/SB/30 (09-04)

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**Request  
For  
Continued Examination (RCE)  
Transmittal**

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/863,479
Filing Date	May 23, 2001
First Named Inventor	KAZUHIKO HONDA
Art Unit	2653
Examiner Name	T. TRAN
Attorney Docket Number	51270-277000

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☐ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☒ Other See 1 in Addendum

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 161805 I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e) | 04/12/2005 MBIZUNES 00000128 161805 09863479

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) | 01 FC:1801 790.00 DA

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature

Date April 7, 2005

Name (Print/Type) Roger R. Wise

Registration No. 31204

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature

Name (Print/Type) Roger R. Wise

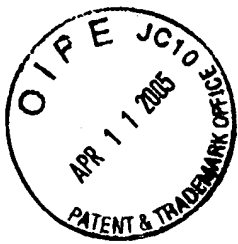
Date April 7, 2005

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Addendum

1. Request for Continued Examination (RCE) and Remarks



51270-277000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HONDA, K.

Serial No: 09/863,479

Filed: May 23, 2001

For: OPTICAL DISC RECORDING METHOD,  
OPTICAL DISC RECORDING DEVICE AND  
OPTICAL DISC

) Examiner: Tran, T.

) Art Unit: 2653

) Notice of Allowance

) Issued: January 7, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Box RCE  
Hon. Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450, on

April 7, 2005

Date of Deposit

Roger R. Wise

Name

Roger R. Wise

Signature

4/7/2005  
Date

**REQUEST FOR CONTINUED EXAMINATION ("RCE") and REMARKS**

Box RCE

Hon. Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In lieu of payment of the issue fee due April 7, 2005, in connection with the above-identified application, Applicant requests continued examination in order to comply with the duty of disclosure required under 37 C.F.R. § 1.56. Accordingly, Applicant seeks to reopen prosecution to allow consideration of the references cited in a corresponding foreign application and have enclosed herewith an Information Disclosure Statement and PTO-1449.

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